

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING  
VIOLATION OF FEDERAL REGULATIONS



CASE NO.

TN11128-AC

VIOLATOR

Patrick Thomas

ADDRESS (Street, City, State, Zip Code)

Patrick Thomas  
592 Parker Store Road  
Cedar Grove, TN 38321

The Department of Agriculture has evidence that on or about **August 30, 2010**, you or your organization committed the following violation of Federal Regulations:

Entered, for the purpose of showing or exhibiting, the horse "Lined Up At The Ritz," at the 72nd Annual Tennessee Walking Horse National Celebration which tested positive for Sulfur.

The Horse Protection Act prohibits the following conduct:

**15 U.S.C. § 1824(7) – Unlawful Acts**

The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.

\*\*\* See Continuation Page \*\*\*

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since the violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS official.

APHIS OFFICIAL (Name, Title)

Sarah L. Conant, Chief, Animal Health and Welfare Enforcement Branch  
Investigative and Enforcement Services

OFFICE ADDRESS:

4700 River Road, Unit 85  
Riverdale, MD 20737

SIG

DATE ISSUED

8/1/11

TELEPHONE NO.

301-734-8684

FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature)

DATE RECEIVED:

FOR CERTIFIED MAIL - RECEIPT NO:

The Horse Protection Regulations restrict foreign substances as follows:

**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

c) Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof: Provided, that:

- (1) The horse show, horse exhibition, or horse sale or auction management agrees to furnish all such lubricants and to maintain control over them when used at the horse show, horse exhibition, or horse sale or auction.
- (2) Any such lubricants shall be applied only after the horse has been inspected by management or by a DQP and shall only be applied under the supervision of the horse show, horse exhibition, or horse sale, or auction management.
- (3) Horse show, horse exhibition, or horse sale or auction management makes such lubricants available to Department personnel for inspection and sampling as they deem necessary.

## USDA Horse Protection Program

### Protocol for Foreign Substance Penalty

Beginning June 1, 2008, USDA will take the following actions in response to a foreign substance violation detected by a USDA inspector through use of the Gas Chromatography/Mass Spectrometry (GC/MS) Test:

**1st offense:** Issue official USDA warning (Form 7060) for violation of Federal Regulations to all parties involved.

**2nd offense:** Issue official USDA warning (Form 7060) for violation of Federal Regulations to all parties involved.

**3rd offense:** Offer a stipulation to the alleged violator in accordance with the Horse Protection Act Regulations (9 C.F.R. § 12.10). If the alleged violator does not accept the stipulation, USDA will initiate an administrative enforcement action (commonly known as a "Federal case").

**4th offense:** USDA will initiate an administrative enforcement action (commonly known as a "Federal case").

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### Additional Information

- Samples for the GC/MS test will be randomly collected by USDA officials only.
- Tests will be conducted by USDA APHIS National Veterinary Services Laboratories in Ames, IA.
- Turnaround time of results may vary. To ensure that alleged violators have adequate notice to adjust their practices after learning of a violation, USDA will not consider a second offense to have occurred for any foreign substance detected by a GC/MS test from the time of the first offense to the time the alleged violator has received notice of the first offense.
- USDA will notify the alleged violator of the specific foreign substance or substances detected concurrently with the notification of the penalty.
- If multiple horses of an alleged violator are tested at one show competition, only one penalty will be applied.

**USDA will focus its prosecutorial discretion on foreign substances that are considered irritants, numbing, and masking agents.**

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### Definition of Foreign Substance

As stated in the Horse Protection Regulations, Sec. 11.2 (c):

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(3) Horse show, horse exhibition, or horse sale or auction management makes such lubricants available to Department personnel for inspection and sampling as they deem necessary.

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**ANIMAL CARE HORSE PROTECTION**  
**Revised 09/01/2008**